

Mid Devon District Council

Audit Committee

Tuesday, 28 March 2023 at 5.30 pm
Phoenix Chambers, Phoenix House, Tiverton

Please Note: this meeting will take place at Phoenix House and members of the Public and Press are able to attend via Zoom. If you are intending to attend in person please contact the committee clerk in advance, in order that numbers of people can be appropriately managed in physical meeting rooms

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Meeting ID: 840 4546 1496

Passcode: 890327

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Membership

Cllr J Buczkowski

Cllr Mrs C Collis

Cllr N V Davey (Chairman)

Cllr R Evans

Cllr R F Radford

Cllr R L Stanley

Cllr A White

Cllr Mrs N Woollatt

Cllr A Wyer

A G E N D A

Members are reminded of the need to make declarations of interest prior to any discussion which may take place

1. **Apologies**
To receive any apologies for absence.
2. **Public Question Time**
To receive any questions relating to items on the Agenda from members of the public and replies thereto.
3. **Declaration of Interests under the Code of Conduct**
To record any interests on agenda matters.
4. **Minutes of the previous meeting** (*Pages 5 - 10*)
To consider whether to approve the minutes as a correct record of the meeting held on 24 January 2023.
5. **Chairman's Announcements**
To receive any announcements that the Chairman may wish to make.
6. **Corporate Performance Report** (*Pages 11 - 40*)
To receive a report from the Corporate Performance and Improvement Manager and the Corporate Manager for People, Performance & Waste providing Members with an update on performance against the Corporate Plan and local service targets for quarter 3 (2022/2023).
7. **Corporate Risk Report** (*Pages 41 - 52*)
To receive a report from the Corporate Performance and Improvement Manager
8. **Risk Management Policy** (*Pages 53 - 64*)
To receive a report from the Corporate Performance and Improvement Manager and the Corporate Manager for People, Governance & Waste presenting the Committee with the updated Risk Management Policy for approval.
9. **Internal Audit Progress Report** (*Pages 65 - 82*)
To receive the Devon Audit Partnership Internal Audit Progress Report.
10. **Internal Audit Charter and Strategy** (*Pages 83 - 102*)
To receive and approve the Devon Audit Partnership Internal Audit Charter and Strategy.
11. **DAP Internal Audit Plan 2023 - 2024** (*Pages 103 - 116*)
To receive the Devon Audit Partnership Internal Audit Plan for 2023 – 2024.

12. **Access to Information - Exclusion of the Press and Public**
Discussion with regard to the next item, may require the Committee to pass the following resolution to exclude the press and public having reflected on Article 12 12.02(d) (a presumption in favour of openness) of the Constitution. This decision may be required because consideration of this matter in public may disclose information falling within one of the descriptions of exempt information in Schedule 12A to the Local Government Act 1972. The Committee would need to decide whether, in all the circumstances of the case, the public interest in maintaining the exemption, outweighs the public interest in disclosing the information.

Recommended that under Section 100A(4) of the Local Government Act 1972 the public be excluded from the next item of business on the grounds that it involves the likely disclosure of exempt information as defined in paragraph 3 respectively of Part 1 of Schedule 12A of the Act, namely information relating to the financial or business affairs of any particular person (including the authority holding that information)

13. **Cyber Security Report 2022-2023** (*Pages 117 - 144*)
To receive the Devon Audit Partnership Cyber Security Audit for 2022 – 2023.
14. **External Audit Progress Report**
To receive a verbal update from Grant Thornton on the 2021/2022 Financial Statement audit, the 2021/2022 Value For Money opinion and the 2021/2022 Certification work.
15. **Chairman's Annual Report for 2022/2023** (*Pages 145 - 146*)
To receive the Chairman's Annual Report on the work of the Committee during 2022/2023.
16. **Identification of items for the next meeting**
Members are asked to note that the following items are already identified in the work programme for the next meeting:
- Election of Chairman for 2023/2024
 - Election of Vice Chairman for 2023/2024
 - Start time of meetings
 - Performance and Risk
 - AGS Action Plan update
 - DAP Internal Audit Outturn Report for 2022/2023
 - Internal Audit Progress Report
 - External Audit Progress Report and Sector Update
 - External Audit Plan for 2023/2024 (tbc)

Note: This item is limited to 10 minutes. There should be no discussion on the items raised.

Stephen Walford
Chief Executive
Monday, 20 March 2023

Meeting Information

From 7 May 2021, the law requires all councils to hold formal meetings in person. The Council will enable all people to continue to participate in meetings via Zoom.

If you want to ask a question or speak, email your full name to Committee@middevon.gov.uk by no later than 4pm on the day before the meeting. This will ensure that your name is on the list to speak and will help us ensure that you are not missed. Notification in this way will ensure the meeting runs as smoothly as possible.

Please note that a reasonable amount of hardcopies at the meeting will be available, however this is a limited number. If you are attending the meeting and would like a hardcopy of the agenda we encourage that you notify Member Services in advance of the meeting to ensure that a hardcopy is available. Otherwise, copies of the agenda can be found on our website.

If you would like a copy of the Agenda in another format (for example in large print) please contact Sarah Lees on: E-Mail: slees@middevon.gov.uk

Public Wi-Fi is available in all meeting rooms