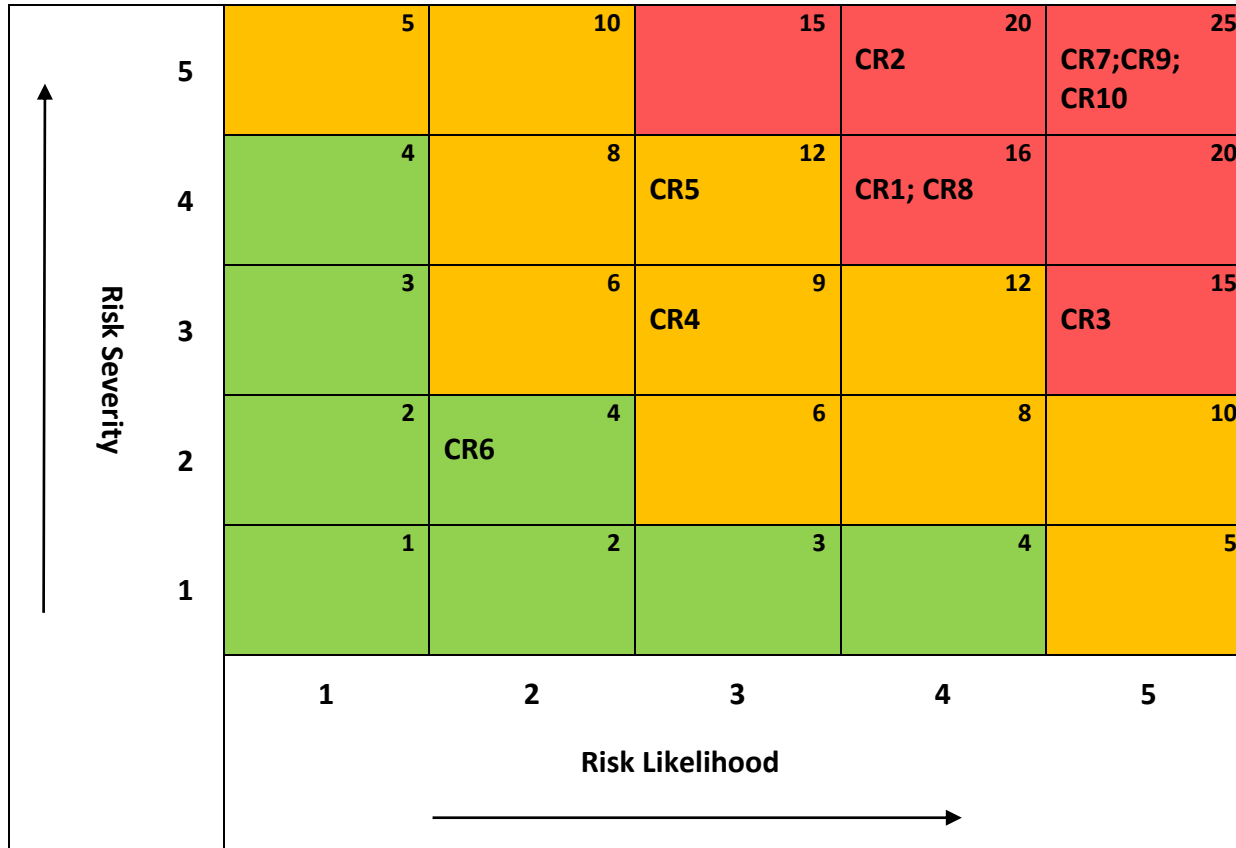


Corporate Risk Matrix



## Corporate Risk Register

CR1	Culm Garden Village	Current Severity	Current Likelihood	Current Risk Rating
		4	4	16
<b>Risk Description:</b> Possible discontinuance of Government funding support.				
<b>Risk Owner:</b> Adrian Welsh		<b>Risk Type:</b> Economic/ Financial		
Mitigating Actions		Responsible Officer	Current Effectiveness	Review Date
CR1.1	Funding opportunities : Lobby for the creation of further funding opportunities and for further rounds of the garden communities capacity funding	Adrian Welsh	Satisfactory	10/03/2023
CR1.2	Further bids for capacity funding: To continue to secure external funding to support the project	Tristan Peat	Satisfactory	10/03/2023
<b>Notes:</b> Current uncertainty with regard to future capacity funding support. Discussions are ongoing with Homes England				
CR2	Cyber Security	Current Severity	Current Likelihood	Current Risk Rating
		5	4	20
<b>Risk Description:</b> Inadequate Cyber Security could lead to breaches of confidential information, damaged or corrupted data and ultimately Denial of Service. If the Council fails to have an effective ICT security strategy in place. Risk of monetary penalties and fines, and legal action by affected parties.				
<b>Risk Owner:</b> Brian Trebilcock		<b>Risk Type:</b> Data Protection/Information Security		
Mitigating Actions		Responsible Officer	Current Effectiveness	Review Date
CR2.1	Audit of Cyber Security: Devon Audit Partnership will be carrying out a cyber security audit during August and September.	Lisa Lewis	Fully Effective	03/03/2023
CR2.2	Awareness training and desktop cyber event testing: National Cyber Security Centre (NCSC) staff/ Member training now mandatory. Cyber SharePoint site created. A cyber desktop exercise planned summer to test Disaster Recovery/Cyber planning/response with Local Government Association/Emergency Planning College will include participation at Director/Member level - schedule tbc.	Lisa Lewis	Satisfactory	03/03/2023

	An ICT/InfoGovernance board to commence after the May local elections which will meet quarterly to monitor cyber/data related activities and manage/monitor risk at senior levels.			
<b>Notes:</b>				

CR3	Failure to meet Climate Change Commitments by 2030	Current Severity	Current Likelihood	Current Risk Rating
		3	5	15
<b>Risk Description:</b> Due to a variety of factors, there is a risk that the Council will not meet its goal of becoming carbon neutral by 2030.				
<b>Risk Owner:</b> Andrew Busby		<b>Risk Type:</b> Environmental		
Mitigating Actions		Responsible Officer	Current Effectiveness	Review Date
CR3.1	Policy and Strategy: Published policy relevant to the climate emergency includes: <ul style="list-style-type: none"> <li>• The MDDC Corporate Plan 2020-2024 with a number of aims relevant to the Climate and Sustainability agenda;</li> <li>• Climate Strategy and Handbook 2020-2024;</li> <li>• The Mid Devon Housing Strategy 2021-2025;</li> <li>• The MDDC Sustainable Procurement Strategy (2023);</li> <li>• The Local Plan (an interim planning policy statement responding to the climate emergency has undergone public consultation and will be presented for adoption).</li> </ul>	Jason Ball	Satisfactory	08/03/2023
CR3.2	Oversight and decision-making: To embed a consistent approach to committee reports, project reports and decision-making, considering the potential climate impacts and opportunities and adaptation needs linked to the climate crisis.	Jason Ball	TBC	08/03/2023
<b>Notes:</b> Based on an evaluation of all the corporate risks at a Leadership Team meeting, the current severity was reduced from 4 to 3, resulting in the overall current risk rating decreasing from 20 to 15. Climate and Sustainability (C&S) strategy and action plans in place, adopted by Council. Actions in the Climate Action Plan (CAP) 2020-2030 involves all Corporate and Operations Managers and includes estimates of costs and savings in tCO <sub>2</sub> e and financial terms. The CAP enables the Council to identify, evaluate and prioritise measures for implementation and monitoring.				

CR4	Homes for Ukraine Scheme	Current Severity	Current Likelihood	Current Risk Rating
		3	3	9
<b>Risk Description:</b> There is a risk of the 'Homes for Ukraine scheme' failing should the host relationship breakdown and re-matching is not an option. Where refugees cannot afford to pay for private accommodation the Council has a Homelessness Duty.				
<b>Risk Owner:</b> Simon Newcombe		<b>Risk Type:</b> Social		
Mitigating Actions		Responsible Officer	Current Effectiveness	Review Date
CR4.1	Collaboration: Continue to engage with DLUHC, LGA and DCN on scheme roll out, pressures and risks/updated national guidance etc. Reviewing a Devon-wide exit strategy around pending housing/accommodation pressures once hostings end – joint solutions (work in progress).	Simon Newcombe	Satisfactory	08/03/2023
CR4.2	Finance: Funding for Q1 of the scheme received from DCC under agreed financial arrangement based on numbers and payments out. Further Q2-Q4 returns and payments in due course. 60% of £10.5k per refugee (DCC 25%, 15% contingency for all) which includes £200 subsistence payment per head. 100% payment of £640k banked. Committed spend to date inc. some Q2 expenditure and existing staff time is around one-third of funding received so far @ £235k	Simon Newcombe	Satisfactory	08/03/2023
CR4.3	Re-matching demand: Re-matching demand is relatively low (host/guest arrangements ending early) and single figures but starting to see increase due to rurality of some hosts and requirement to be closer to employment, services and schools.	Simon Newcombe	Satisfactory	08/03/2023
CR4.4	Team Devon: Petroc offer a myriad of informal network events will help support transition to sustainable residency (employment, language, skills, accommodation, schools, etc.) and help during summer holiday period – likely to be testing for some host/guest relationships. Negotiated strong Petroc offer for summer holidays and longer-term programme inc. Tiverton campus. Summer programme (get to know – family cookery, arts and crafts, physical activity, trips to local attractions).	Simon Newcombe	Satisfactory	08/03/2023
CR4.5	Team Devon resettlement strategy: Devon strategy agreed with L&Cs to prevent homelessness presentations & TA costs [Existing host support (host monthly payments top-ups)/Re-matching/utilisation of EOIs/Transition to private rental sector (guest and landlord grants, rent assurance)]. Costs within Q1&2	Simon Newcombe	Satisfactory	08/03/2023

	budget-contingency & Q3/4 funds headroom. 12-mths CHAT contract + MDDC PSH/homeless teams. Support beyond formal HfU scheme end (March 23) to Oct. 23. HfU funds c/f into 2023/24. 215 guests/ 92 hosts – new arrivals now 1-2 families per month.			
<p><b>Notes:</b> Local Authority Housing Fund decision in place with Cabinet to provide additional, dedicated temporary accommodation. Devon County Council/Team Devon agreement on contingency match-fund support also in place. Contingency adequately in place (EMR) to deliver existing arrangement with full recharge for internal costs and external contracts for 2023/24. PRS transition arrangements and external contracts working well (MDDC/CHAT and CAB). Payment from Government now £5.9k per person but adequate to support delivery on top of EMR. Host payment monies continue to be covered directly by Government irrespective of per person payment.</p>				

CR5	Information Security	Current Severity	Current Likelihood	Current Risk Rating
		4	3	12
<p><b>Risk Description:</b> Inadequate data protection could lead to breaches of confidential information and ultimately enforcement action by the ICO.</p>				
<b>Risk Owner:</b> Giovanni Wallace		<b>Risk Type:</b> Data Protection/Information Security		
Mitigating Actions	Responsible Officer	Current Effectiveness	Review Date	
<p><b>Notes:</b> Recently appointed to the role of Data Protection officer – Instantly highlighted areas for improvement and have put a frameworks in place to improve data quality, compliance and data protection. Framework is being built and will be imbedded at all levels with training being given to all levels of MDDC.</p>				

CR6	National shortage of chlorine and other chemicals	Current Severity	Current Likelihood	Current Risk Rating
		2	2	4
<p><b>Risk Description:</b> A countrywide shortage of chlorine due to production issues in China and the war in Ukraine means some councils plan to close their swimming pool facilities and amend timetables.</p>				
<b>Risk Owner:</b> Lee Chester		<b>Risk Type:</b> Economic/Financial		
Mitigating Actions	Responsible Officer	Current Effectiveness	Review Date	
CR6.1	<p>Alternatives to using Chlorine in our swimming pools: To convert the disinfection systems to salt would cost EVLC and LMLC approximately £30k each. A significant investment however if there is an agreement to change over to salt it would take time and resources.</p>	Lee Chester	Satisfactory	10/03/2023

CR6.2	Challenges Nationally: Leisure pools are being “challenged nationally” with (sodium hypochlorite) chlorine shortages and while these have been building for some time. The ongoing crisis is due to a ‘perfect storm’ of supply chain issues, caused by Brexit, Covid, and the Ukraine war. Currently our leisure service are able to get stocks of Chlorine by chasing suppliers. The price of chlorine has also increased by 50-60%.	Lee Chester	Fully Effective	10/03/2023
<b>Notes:</b> As of March 2023, the supply chain for chlorine and acid chemicals required for maintain pool water quality has been consistently meeting purchase order volumes required and delivery timescales. This is consistent since the early autumn, and will be continued for risk updates during the seasonal peaks when outdoor pools and holiday parks are in greater use across the country				

CR7	Financial Sustainability	Current Severity	Current Likelihood	Current Risk Rating
		5	5	25
<b>Risk Description:</b> The council faces a range of financial challenges. We are subject to ongoing budget reductions whilst the cost of providing services continues to increase due to a range of inflationary pressures. We are also subject to single year budget settlements which impacts on the ability for medium term financial planning. We need to be able to plan and meet these challenges so that we can continue to deliver effective services and achieve the priorities we wish to.				
<b>Risk Owner:</b> Paul Deal		<b>Risk Type:</b> Economic/Financial		
Mitigating Actions		Responsible Officer	Current Effectiveness	Review Date
CR7.1	Business Plans: Service Business Plans are reviewed each financial year with suggestions for revised performance targets based on budget to be agreed by Cabinet Member and PDG.	Paul Deal	Satisfactory	08/03/2023
CR7.2	Identify Efficiencies: Taking proactive steps to increase income and reduce expenditure through efficiencies, vacancies that arise and delivering services in a different way.	Paul Deal	Satisfactory	08/03/2023
CR7.3	Reserves: Cabinet have taken the decision to recommend a minimum general reserve balance of £2m.	Paul Deal	Action Required	08/03/2023
CR7.4	Set Budget: Each year as part of the budget setting process, members are consulted via PDGs in time to evaluate savings proposals.	Paul Deal	Satisfactory	08/03/2023
CR7.5	Medium term planning: Work to close the budget gap is on-going. A range of options are being considered but Covid, business rates and uncertainty over fair funding review make the situation extremely challenging. To close the budget gap and maintain services: We continue to work with managers to reduce costs and explore new income streams.	Paul Deal	Action Required	08/03/2023

**Notes:** This risk was established in February 2023. It consists of two previously identified financial risks (“Overall funding availability”, and “Reduced funding – budget cuts”). Following recommendations to remove income from the original budget agreed on 7 February requiring the utilisation of reserves, there is a need to identify over £1m of ongoing savings in 2023/24 to balance the in-year budget and avoid increasing the medium term financial plan gap still to be identified. Work is underway to identify savings towards the vacancy target. Cabinet have been tasked with identifying options to replenish reserves.

CR8	Quality of Planning Committee Decisions	Current Severity	Current Likelihood	Current Risk Rating
		4	4	16
<b>Risk Description:</b> Planning decisions are monitored at Government level nationally – the risk is to stay significantly below 10%. Over 10% could put a Local Planning Authority into special measures. Links to Performance Indicator measures 11a, 11b, 12a and 12b.				
<b>Risk Owner:</b> Angharad Williams		<b>Risk Type:</b> Reputation		
Mitigating Actions		Responsible Officer	Current Effectiveness	Review Date
CR8.1	Planning Advisory Service Committee Review being undertaken: To minimise risk associated with decision making and Government targets	Angharad Williams	TBC	08/03/2023
CR8.2	Planning Advisory Service Training being undertaken: To minimise risk associated with Government targets and decision making	Angharad Williams	TBC	08/03/2023
CR8.3	Regular informal planning committee meetings: Keeping planning committee informed of all appeals and upcoming planning policy, and any actions the Council is making.	Angharad Williams	Satisfactory	08/03/2023
<b>Notes:</b>				

CR9	SPV – 3 Rivers – Failure of the Company	Current Severity	Current Likelihood	Current Risk Rating
		5	5	25
<b>Risk Description:</b> This will depend on Economic factors and the Company’s success in the marketplace commercially. For MDDC the impacts will be: <ul style="list-style-type: none"> <li>• 3 Rivers are unable to service and repay the loan from MDDC</li> <li>• Not receiving the forecast additional income</li> <li>• Not supporting corporate objectives.</li> </ul>				
<b>Risk Owner:</b> Paul Deal		<b>Risk Type:</b> Economic/Financial		
Mitigating Actions		Responsible Officer	Current Effectiveness	Review Date

CR9.1	Cabinet: Regular meetings with Shareholder Representatives and updates to Cabinet on progress with the recommendations action plan and projects.	Andrew Jarrett	Satisfactory	08/03/2023
CR9.2	Regular monitoring: The Board of 3 Rivers deliver a half yearly report to the Cabinet which provides an update on their delivery against their business plan. We charge interest to them at a commercial rate in order to maintain an “arms-length” relationship and the interest provides some mitigation to the outstanding principal.	Andrew Jarrett	Satisfactory	08/03/2023
<b>Notes:</b> Failure to approve a business plan has resulted in the company only being able to continue on existing developments. Full Council have requested an external review, for which the scope is being developed.				

<b>CR10</b>	<b>Cullompton Town Centre Relief Road</b>	<b>Current Severity</b>	<b>Current Likelihood</b>	<b>Current Risk Rating</b>
		5	5	25
<b>Risk Description:</b> Inability to deliver the Cullompton Town Centre Relief Road				
<b>Risk Owner:</b> Adrian Welsh		<b>Risk Type:</b> Economic/Financial		
<b>Mitigating Actions</b>		<b>Responsible Officer</b>	<b>Current Effectiveness</b>	<b>Review Date</b>
CR10.1	Alternative Funding: Alternative funding opportunities continually being explored.	Adrian Welsh	Action Required	23/02/2023
<b>Notes:</b> This risk was added to the Corporate Risk Register in February 2023.				